

PTO/SB/21 (08-00)

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Total Number of Pages in This Submission 23

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## **Application Number** 09/970,768 TRANSMITTAL **Filing Date** October 5, 2001 **FORM First Named Inventor** Dr. Alfred Keng Tiong Tan (to be used for all correspondence after initial filing) Group Art Unit 2631 **Examiner Name**

Attorney Docket Number

Total Number of Page	s in This Submission	23	Attorney Docket Number	19441.0010			
		ENCLO	OSURES (check all that apply)				
Fee Transmittal Form		Statem Status	ent Claiming Small Entity	After Allowance Communication to Group			
Fee Attached		☑ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	sponse	Licensi	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition and Ac	n Routing Slip (PTO/SB/69) ccompanying Petition	Proprietary Information			
Affidavits/dec	laration(s)	_	n to Convert to a onal Application	Status Letter			
Extension of Time Request			of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request		_	al Disclaimer st for Refund	Copy of Notice to File Missing Parts of NonProvisional Application, Substitute Drawings in Compliance with 37			
☐ Information Disclosure Statement		CD, Nu	ımber of CD(s)	CFR 1.84, Executed Declaration			
Certified Copy of Priority Document(s)		Rema	rks				
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNAT	URE OF	APPLICANT, ATTORNEY, O	R AGENT			
Firm <i>or</i> Individual name	Firm Robert C. Bertin, Reg. No. 47,252						
Signature	Signature MMS						
Date	December 28, 200	1					
CERTIFICATE OF MAILING							

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SEE TO ANOMITTAL	Complete if Known						
PEEE TRANSMITTAL	Application Number	09/970,768					
்	Filing Date	October 5, 2001					
DEC 58 5001 8	First Named Inventor	Dr. Alfred Keng Tiong Tan					
Page fees are subject to annual revision.	Examiner Name						
Pate fees are subject to annual revision.	Group / Art Unit	2631					
TOTAL AMOUNT OF PAYMENT (\$) 65	Attorney Docket No.	19441.0010					

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		METH	OD OF P	AYMENT (checi	one)					FEE C	ALCULATION (continued)	
1.	The Commissioner is hereby authorized to charge  3. ADDITIONAL FEES											
1. indicated fees and credit any over payments to:					Large Entity		Small Entity					
	Deposit						Fee	Fee	Fee	Fee	Fee Description	Fee
	Account	1951	127, Orde	r No. 19441.0010	)		Code	(\$)	Code		•	Paid
	Number						105	130	205	65	Surcharge - late filing fee or oath	65
Deposit						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Swidler Berlin Shereff Friedman, LLP						139	130	139	130	Non-English specification		
	Name	L					147	2,520	147	2,520	For filing a request for reexamination	
	_	CFR 1.1	16 and 1.1	17			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	Applicant See 37 C	FR 1.27	·	y status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2.	☐ Payme	nt Enclo	osed:				115	110	215	55	Extension for reply within first month	
	☐ Check	□ c	redit card		☐ Other		116	400	216	200	Extension for reply within second month	
				Order			117	920	217	460	Extension for reply within third month	<del></del>
			FEE C	ALCULATION			118	1.440	217	720	· ·	<u> </u>
1.	BASIC FI	LING F	EE				110	1,440		720	Extension for reply within fourth month	
Lar	ge Entity	Small	Entity				128	1,960	228	980	Extension for reply within fifth month	
Fee		Fee	Fee	Fee Description			119	310	219	155	Notice of Appeal	
Cod	- 117	Code	(\$)		Fee Paid	- 1	120	310	220	155	Filing a brief in support of an appeal	
101		201	370	Utility filing fee		-	121	270	221	135	Request for oral hearing	
106 107		206 207	165 255	Design filing fee Plant filing fee		-	138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fee	,	1	140	110	240	55	Petition to revive – unavoidable	
114	160	214	80	Provisional filling	fee		141	1,280	241	620	Petition to revive – unintentional	
		_				_	142	1,280	242	620	Utility issue fee (or reissue)	
		S	UBTOTA	L (1)	(\$) 0	J	143	460	243	220	Design issue fee	
2.	EXTRA CLAI	M FEES				_	144	620	244	300	Plant issue fee	
			•	Extra F	ee from Fee		122	130	122	130	Petitions to the Commissioner	
Total	Claims	-2	20** =	Claims b	elow Paid = 0	٦	123	130	123	130	Petitions related to provisional applications	
Indep Claim	endent s	<del>-</del>	3** =	0 X	= 0	1	126	180	126	180	Submission of Information Disclosure Stmt	
Multip Deper				×[	= 0	וֹ [	581	40	581	40	Recording each patent assignment per property (times number of	
Lar	ge Entity	Small	Entit	v			146	740	246	255	properties)	<u> </u>
Fee	Fee	Fee Code	Fee (\$)	, Fee Descript	ion				246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
103	. (*/	203	9	Claims in exc	ess of 20		149	740	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102		202	42		claims in excess of 3						examined (37 CFR g 1.129(b))	
104	280	204	140	•	ndent claim, if not paid		179	740	279	370	Request for Continued Examination (RCE)	
109	84	209	42	• •	lependent claims over		169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla	ims in excess of 20 an	d						
		- • •	-	over original p	patent							
SUBTOTAL (2) (\$) 0					Other fe	e (specif	y)					
					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65							
•••	**or number previously paid, if greater: For Reissues, see above											

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	int/Type) Robert C. Bertin Registration No. Attorney/Agent)		41,488	Telephone 202 424-7872				
Signature		14		Date	December 27, 2001			

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